



Attorney Docket 161,700-088
Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

BARBUT

Serial No.: 10/676,814

Filed: September 30, 2003

For: DEVICES AND METHODS FOR
PREVENTING DISTAL
EMBOLIZATION DURING
INTERVENTIONAL PROCEDURES

Group Art Unit: 3763

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97–1.98, information relating to the above-identified application is hereby disclosed. The accompanying Form PTO-1449 provides a listing of documents that may be relevant to the subject application.

It is requested that the Examiner fully consider the art cited in the accompanying Form 1449, initial the left-most column of the form adjacent each cited reference, and return a copy for Applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

December 29, 2003
Date of Deposit
IR1:1051009.1

Cynthia B. Pacheco
Cynthia B. Pacheco

In accordance with §1.97(b), this Information Disclosure Statement is being filed within three months of the filing date of the above-identified application, and therefore no fee is required.

In accordance with §1.98(d), copies of some or all of the references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the Patent and Trademark Office in one or more of the prior U.S. Applications: U.S. Application Serial No. 09/909,642, filed July 20, 2001, now U.S. Patent No. 6,626,886, which is a continuation of U.S. Application Serial No. 09/687,150, filed October 12, 2000, now U.S. Patent No. 6,623,471, which is a continuation of U.S. Application Serial No. 09/287,252, filed April 7, 1999, now U.S. Patent No. 6,146,370, by BARBUT, Denise R., et al. for which a claim for priority under 35 U.S.C. §120 has been made in the instant application. Accordingly, Applicants will provide duplicate copies in respect of the present case only if the Examiner so desires.

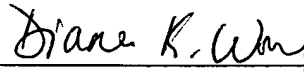
This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made. Nor does this statement constitute an admission by Applicants or Applicants' agent that the information provided herein is necessarily prior art to Applicants' invention. Moreover, Applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references. Please charge any deficiency or credit any overpayment to Deposit Account No. 50-2862.

Respectfully submitted,

O'MELVENY & MYERS LLP

Dated: December 29, 2003

By



Diane K. Wong, Reg. No. 54,550
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PTO/SB/08A (08-00)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	10/676,814
Filing Date	September 30, 2003
First Named Inventor	BARBUT
Group Art Unit	3763
Examiner Name	Not Yet Assigned
Attorney Docket Number	161,700-088

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	4,921,478		Solano et al.	5/1990	
	AB	5,423,742		Theron	6/1995	
	AC	5,599,307		Bacher et al.	2/1997	
	AD	5,765,568		Sweezer, Jr. et al.	6/1998	
	AE	5,769,812		Stevens et al.	6/1998	
	AF	5,833,645		Lieber et al.	11/1998	
	AG	5,833,650		Iman	11/1998	
	AH	5,938,645		Gordon	8/1999	
	AI	5,312,344		Grinfeld et al.	5/1990	
	AJ	6,206,868		Parodi	3/2001	
	AK	5,478,309		Sweezer et al.	12/1995	
	AL	5,674,198		Leone	10/1997	
	AM	5,755,682		Knudson et al.	5/1998	
	AN	5,836,905		Lemelson et al.	11/1998	
	AO	6,013,085		Howard	1/2000	
	AP	6,146,370		Barbut	11/2000	
	AQ	6,206,868		Parodi	3/2001	
	AR	6,348,063		Yassour et al.	2/2002	
	AS					
	AT					

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₈
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	AU	WO	WO99/45835		Parodi	09/1999		
	AV							
	AW							
	AX							
	AY							
	AZ							
	BA							
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¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

² Unique citation designation number. ³ See attached Kinds of U.S. Patent Documents. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2 of 2

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Group Art Unit	3763
Examiner Name	Not Yet Assigned
Attorney Docket Number	161,700-088

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume–issue number(s), publisher, city and/or country where published.	T ²
	BD	Theron et al., "New Triple Coaxial Catheter System for Carotid Angioplasty with Cerebral Protection," American Society of Neuroradiology, 11:869-874, Sep./Oct. 1990	
	BE		
	BF		
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